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PATENT  
SCI-00100

Attorney Docket No.: SCI-00100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James L. Hobart *et al.*

Serial No.: 09/018,104

Filed: February 3, 1998

For: **DUAL MODE LASER DELIVERY  
SYSTEM PROVIDING  
CONTROLLABLE DEPTH OF  
TISSUE ABLATION AND  
CORRESPONDING  
CONTROLLABLE DEPTH OF  
COAGULATION**

) Group Art Unit: 3739

) Examiner: Shay, D.

) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. Re. 36,872;
- U.S. Patent No. 2,702,552;
- U.S. Patent No. 2,715,315;
- U.S. Patent No. 3,307,553;
- U.S. Patent No. 3,538,919;
- U.S. Patent No. 3,693,623;
- U.S. Patent No. 3,821,510;
- U.S. Patent No. 3,834,391;
- U.S. Patent No. 3,854,153;
- U.S. Patent No. 3,900,034;

3739



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Group Art Unit: 3739

Examiner: Shay, D.

**TRANSMITTAL LETTER**

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Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon, for filing with the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: May 9, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP

Date: 5-9-02 By: Jonathan O. Owens

- U.S. Patent No. 3,967,627;
- U.S. Patent No. 3,973,825;
- U.S. Patent No. 4,006,299;
- U.S. Patent No. 4,071,031;
- U.S. Patent No. 4,122,853;
- U.S. Patent No. 4,140,130;
- U.S. Patent No. 4,143,660;
- U.S. Patent No. 4,149,529;
- U.S. Patent No. 4,174,154;
- U.S. Patent No. 4,185,633;
- U.S. Patent No. 4,240,431;
- U.S. Patent No. 4,274,703;
- U.S. Patent No. 4,276,520;
- U.S. Patent No. 4,276,779;
- U.S. Patent No. 4,313,093;
- U.S. Patent No. 4,329,997;
- U.S. Patent No. 4,373,816;
- U.S. Patent No. 4,381,007;
- U.S. Patent No. 4,388,924;
- U.S. Patent No. 4,408,602;
- U.S. Patent No. 4,461,294;
- U.S. Patent No. 4,503,854;
- U.S. Patent No. 4,516,564;
- U.S. Patent No. 4,538,181;
- U.S. Patent No. 4,545,657;
- U.S. Patent No. 4,559,942;
- U.S. Patent No. 4,566,107;
- U.S. Patent No. 4,608,978;
- U.S. Patent No. 4,608,979;
- U.S. Patent No. 4,617,926;
- U.S. Patent No. 4,660,798;
- U.S. Patent No. 4,662,730;
- U.S. Patent No. 4,655,913;

- U.S. Patent No. 4,684,222;
- U.S. Patent No. 4,733,660;
- U.S. Patent No. 4,753,503;
- U.S. Patent No. 4,761,047;
- U.S. Patent No. 4,785,456;
- U.S. Patent No. 4,791,927;
- U.S. Patent No. 4,819,669;
- U.S. Patent No. 4,852,115;
- U.S. Patent No. 4,856,513;
- U.S. Patent No. 4,871,252;
- U.S. Patent No. 4,887,019;
- U.S. Patent No. 4,887,894;
- U.S. Patent No. 4,896,015;
- U.S. Patent No. 4,915,484;
- U.S. Patent No. 4,941,093;
- U.S. Patent No. 4,971,411;
- U.S. Patent No. 5,000,752;
- U.S. Patent No. 5,055,048;
- U.S. Patent No. 5,057,104;
- U.S. Patent No. 5,059,192;
- U.S. Patent No. 5,061,062;
- U.S. Patent No. 5,123,845;
- U.S. Patent No. 5,125,923;
- U.S. Patent No. 5,128,509;
- U.S. Patent No. 5,152,759;
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- U.S. Patent No. 5,182,857;
- U.S. Patent No. 5,190,032;
- U.S. Patent No. 5,198,926;
- U.S. Patent No. 5,207,576;
- U.S. Patent No. 5,210,398;
- U.S. Patent No. 5,226,907;
- U.S. Patent No. 5,227,910;

- U.S. Patent No. 5,275,564;
- U.S. Patent No. 5,282,797;
- U.S. Patent No. 5,292,320;
- U.S. Patent No. 5,344,418;
- U.S. Patent No. 5,359,669;
- U.S. Patent No. 5,360,447;
- U.S. Patent No. 5,411,502;
- U.S. Patent No. 5,413,555;
- U.S. Patent No. 5,421,819;
- U.S. Patent No. 5,423,801;
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- U.S. Patent No. 5,426,662;
- U.S. Patent No. 5,464,013;
- U.S. Patent No. 5,480,396;
- U.S. Patent No. 5,486,172;
- U.S. Patent No. 5,520,679;
- U.S. Patent No. 5,531,740;
- U.S. Patent No. 5,546,214;
- U.S. Patent No. 5,582,752;
- U.S. Patent No. 5,595,568;
- U.S. Patent No. 5,611,795;
- U.S. Patent No. 5,624,437;
- U.S. Patent No. 5,637,850;
- U.S. Patent No. 5,642,287;
- U.S. Patent No. 5,645,550;
- U.S. Patent No. 5,651,784;
- U.S. Patent No. 5,735,844;
- U.S. Patent No. 5,756,981;
- U.S. Patent No. 5,769,787;
- U.S. Patent No. 5,770,847;
- U.S. Patent No. 5,782,822;
- U.S. Patent No. 5,783,798;

- U.S. Patent No. 5,814,803;
- U.S. Patent No. 5,814,827;
- U.S. Patent No. 5,846,080;
- U.S. Patent No. 5,849,006;
- U.S. Patent No. 5,865,830;
- U.S. Patent No. 5,868,731;
- U.S. Patent No. 5,883,658;
- U.S. Patent No. 5,900,963;
- U.S. Patent No. 5,931,848;
- U.S. Patent No. 5,933,268;
- U.S. Patent No. 5,941,893;
- U.S. Patent No. 5,997,531;
- U.S. Patent No. 6,066,127;
- U.S. Patent No. 6,228,075 B1;
- U.S. Patent No. 6,228,076 B1;
- U.S. Patent No. 6,267,771 B1;
- European Publication No. EP 0 073 617 A1;
- European Publication No. EP 0 714 642 A1;
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- PCT Publication No. WO 86/02783;
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- PCT Publication No. WO 95/15725;
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- Leon Goldman MD., et al., "Biomedical Aspects of Lasers" JAMA, April 20, 1964, Vol. 188, No. 3, pp. 302-306;
- Leon Goldman MD., et al., "Effect of the Laser Beam on the Skin," Preliminary Report, 2 pgs;
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- "Pathology of the Effect of the Laser Beam on the Skin," Nature, March 2, 1963, Vol. 197, pp. 912-914;
- "Preliminary Investigation of Fat Embolization from Pulsed Ruby Laser Impacts of Bone," Nature, Vol. 221, Jan. 1969, pp. 361-363; and
- Leon Goldman MD., et al., "Radiation from a Q-Switched Ruby Laser: Effect of Repeated Impacts of Power Output of 10 Megawatts on a Tattoo of Man," pp. 69-71.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: May 9, 2002

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 5-9-02 By: John D. Ruscon